

Strategic Risk Register - January 2024

\*blue text denotes updates

Corporate Plan Link:	Risk No.	Risk Description	Inherent Impact Score	Inherent Likelihood Score	Risk Owner (Director)	Inherent Risk Rating (Impact x Likelihood)	Residual Impact score	Residual Likelihood score	Residual Risk rating (Impact x Likelihood)	Target Score (Impact x Likelihood)	3 Lines of Assurance			Actions / Responsibilities / Timescales	Assurance Rating (RAG)
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Starting Well, Living Well, Ageing Well, Great Place Inclusive Growth	1	<b>Financial Resilience</b> The Council is unable to deliver its Medium Term Financial Plan. Failure to deliver services within available budgets and provide for future financial stability, including the maintenance of the Council's resource base and council tax collection and dealing with the current cost pressures and demand levels in Children's Services, combined with an uncertain macro economic outlook (inflation, cost of living, contracts linked to inflation, interest rate rises).	5	5	Ashley Hughes (Director of Resources)	25	5	4	20	15	Budget consultation framework, day to day internal budgetary control, approved Medium Term Financial Strategy and Treasury Management Strategy. <i>ASC phased approach to full cost recovery - alignment of charges to fees and income with public consultation.</i>	Budget monitoring reports to SLT, Executive. Scrutiny of Budget. Consultation. Council approval of Budget. STAR chambers process in place	External audit financial statements (going concern opinion) CIPFA Financial management and Resilience Reviews. Internal Audit planned reviews 2023/24 of budgetary control, budget challenge, medium term financial planning, agency workers, direct payments	Spend control measures in place - SLT, March 2024 Medium Term Financial Strategy <i>was refreshed over the summer, with robust processes for the identification and development of savings proposals put in place with SLT/ADDG accountability - Director of Resources, October 2023</i> Consultation budget published December 2023 - Director of Resources Delivery of budget 2023/24 - SLT March 2024 Engagement of External Support for Transformation (Impower) - Director of Resources, October 2023	
Starting Well, Living Well, Ageing Well, Great Place Inclusive Growth	2	<b>Capacity</b> Capacity of the workforce and/or the market is not sufficient to meet the Council's increasing demands, including notably external pressures arising from health and care systems.	4	5	Single Leadership Team	20	4	4	16	12	Workforce and OD strategies. Appraisals and performance management. Joint working with partners in health. Day to day commissioning and contract management, supplier due diligence.	Monitoring of demand and the provider markets at strategic level, budget monitoring reports, forecasting future demand, market sufficiency plan (new and Published), market position statement (due). Oversight at SLT, Executive, Scrutiny, STAR Chambers	Monitoring of CQC and other inspection reports from providers, Planned 2023/24 Internal Audits of commissioning, strategic procurement, recruitment and retention.	Market Sufficiency plan published - March 2023 (national requirement), <i>Market Position Statement was approved by Cabinet in Sept 2023 and also published on the website.</i> This is to support market shaping, regular visits from the Quality improvement Team to support prep for CQC and improve outcomes, weekly updates from Commissioning to Adults Leadership Team, regular Provider Forums, contract monitoring in place Tracey Harrison - AD Adults, Trevor Trench, March 2024	
Starting Well, Living Well, Ageing Well	3	<b>Safeguarding</b> Inability to provide effective safeguarding outcomes for citizens by failing to prevent and investigate safeguarding concerns as they may arise.	5	5	Allison Parkinson (Director of Childrens)  Stephanie Butterworth (Director of Adults)	25	4	4	16	12	Day to day statutory performance of roles and assurances through DASS and DCS. Operations in Childrens and Adults services ensuring quality, i.e. staff supervision, training and development, prompt escalation of issues. Up to date policies and procedures. Recruitment to key posts, fit for purpose structures.	SLT, Cabinet and Scrutiny oversight. Monitoring of improvement plans by DFE Improvement Board, SLT, Executive, Scrutiny and Education Attainment and Improvement Board	Safeguarding boards (Adults and Children's). Regular OFSTED and CQC Inspections. Planned 2023/24 internal audits of schools, Children's improvement planning	Improvement Plans to be delivered - Monthly update of Children's Improvement plan by Improvement Board & 6 monthly DFE Reviews. Allison Parkinson (Director of Childrens), March 2024 Safeguarding lead adults appointment to commence 1 November 2023. <i>TRAM launched on 21st November. All partners utilising model. Quarterly safeguarding reports including monitoring of TASP activity, which includes TRAM.</i> Stephanie Butterworth (Director of Adults)	

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Starting Well	4	<b>Non Delivery of Performance and Improvement Plans</b> Failure to effectively measure and monitor our performance outcomes leading to adverse service delivery to citizens and poor inspection results from external assessment agencies e.g. OFSTED, CQC, LGA Peer Assessment.	5	5	Single Leadership Team	25	4	4	16	6	Day to day performance management systems in place within directorates ensuring quality, i.e. staff supervision, training and development, prompt escalation of issues. Up to date policies and procedures. Recruitment to key posts, fit for purpose structures. <a href="#">Strengthening of the overall structure.</a>	SLT, Cabinet and Scrutiny oversight of performance measures. Monitoring of improvement plans by DFE Improvement Board, SLT, Executive, Scrutiny and Education Attainment and Improvement Board	OFTSED Inspection outcomes. Planned 2023/24 internal audit of schools, children's improvement planning. Monthly on site visits by DFE Improvement Advisor. External support from Salford & Stockport SLIP DFE & CQC monthly monitoring of WSOA. Re-inspection under the new January 2023 framework expected approx autumn 2024. <a href="#">Peer challenge Jan 2024.</a>	SEND Action plan delivery - Autumn2023/Winter2024. Improvement Plans to be delivered - Monthly update of Children's Improvement plan by Improvement Board & 6 monthly DFE Reviews. Allison Parkinson (Director of Childrens), March 2024 Preparation for LGA Peer Assessment - Simon Brunet, Head of Policy, Performance December 2023	
Corporate Support and Enabling Services	5	<b>Cyber Security</b> Risk of a successful cyber-attack on the Council's infrastructure would have significant impact on the availability of all ICT systems over a sustained long-term period. This could impact some or all internally operated services and those consumed by our customers and residents. Data loss could also be a consequence.	5	5	Ashley Hughes (Director of Resources)	25	4	4	16	6	Day to day cyber safeguarding controls, firewall, patching, VPN, Multi Factor Authentication, Asset Management (leavers), Mobile Device Management, Alerts from NCSC around vulnerabilities, Information governance controls to protect data.	Quarterly IT updates and reporting to SLT. Exception reporting around training Compliance Reporting - Devices Information Governance Group which meets every 2 months.	Cyber security internal audit 2023/24. Cyber 360 from the LGA, AD IT March 2024	KPI's exception reporting to ADDG, SLT, Cabinet, Scrutiny Dec 2023. PEN test results under review - action plan to address critical vulnerabilities - March 2024 Cyber Essentials accreditation May 2024. SIEM Implementation by April 2024. Temp Cyber Security Officer to be recruited Jan 2024. LGA 360 Review March 2024 Cyber Unpacked training for all staff - Jan 2024	
Starting Well, Living Well, Ageing Well, Great Place Inclusive Growth	6	<b>Wider Socio Economic Environment - Cost of Living</b> The risk that the Cost of Living crisis causes budget management pressures to Council Services, possible increases in community demand for health and social care services, and the detrimental effect to Tameside poverty levels and health. The cost of living crisis affects businesses in many ways, such as, reduced consumer demand, higher operating costs, and lower investment and growth.	5	5	Single Leadership Team	25	5	3	15	6	Day to day money advice support for residents, public health, day to day management of growth projects and inflationary pressures. Support in place via welfare rights/customer services advice re. debts, benefits and work. Review of HSF and more targeted implementation process. Co-ordinating Government Support to ensure it reaches those people who need it. Poverty action plan with cross-directorate delivery. Council has voluntarily adopted the Socio-economic Duty.	Monitoring of exchequer collection rates. Poverty Strategy monitored via Health and Wellbeing Board. Poverty Sub group in place with Borough action plan and Poverty Monitor to identify data trends. Oversight by SLT, Executive and Scrutiny. Director of Public Health is the corporate lead on the Poverty Action Plan. Update on progress against this going to January 24 Health & Wellbeing Board.	Poverty Strategy implementation monitored via Tameside Health and Wellbeing Board - formal update report going to January 2024 meeting. Planned 2023/24 internal audit review of place, major programmes (growth)	Poverty Strategy Action Plan sub group (HWBB) initially met June and will meet quarterly. Internal group to Council meeting bi-monthly. Poverty monitor scrutinised - update due to HWBB Jan 24.	
Starting Well, Living Well, Ageing Well, Great Place Inclusive Growth	7	<b>Health &amp; Social Care Reform</b> Implementation of a GM Integrated Care System may increase the operational and financial risks of the Council and may delay our progress in implementing local strategies to improve population health outcomes.	5	5	Sandra Stewart (Place-based Lead)	25	4	3	12	6	Day to day governance arrangements in place to support the next stage of health and social care integration.	ICS Board oversight	Planned 2023/24 audit of health and social care reform	Relevant escalations highlighted at Tameside Strategic Partnership Board as required, including emerging financial risks in the Health & Care system.	

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Great Place Inclusive Growth	8	<b>Inability to Drive Growth</b> Tameside is unable to exploit growth opportunities and this has a detriment to residents, local businesses and the borough's future prosperity.	4	5	Julian Jackson (Director of Place)	20	3	4	12	9	Day to day work to exploit growth opportunities and funding, strategic partnering. <b>Project and programme management arrangements in place to deliver and realise growth within Borough.</b> Implementing the inclusive growth strategy.	<b>Oversight and day to day management by Head Investment &amp; Development, AD IDH and Place Director.</b> Oversight by SLT, Executive and Scrutiny	Planned 2023/24 internal audit review of Place - major projects and capital programme	The Council will continue to engage with all funding/investment opportunities working closely with the market, Julian Jackson Director of Place, March 2024 Building a project pipeline of schemes to drive inclusive growth, AD Investment & Development Housing, March 2024.	
Corporate Support and Enabling Services	9	<b>Key Supplier / Partner Failure</b> In current economic climate and /or cashflow issues, supplier failure may lead to disruption to services affecting our residents.	4	5	Single Leadership Team	20	4	2	8	6	Day to day operations of procurement and commissioning teams, supplier due diligence, contract management register. <b>Strengthening of commissioning team and increased monitoring of suppliers.</b>	Oversight by SLT, Executive and Scrutiny & DMT.	Planned 2023/24 internal audits of strategic procurement, commissioning	Development of business continuity arrangements for key supplier failure. ADDG, December 2023. <b>Diversification and risk mitigation plan away from suppliers who are a central point of failure.</b> <b>Additional risk controls added to the provider contract register.</b>	
Starting Well, Living Well, Ageing Well, Great Place Inclusive Growth	10	<b>Housing Supply</b> Insufficient supply and range of available housing means that targets for affordable housing are not met and strategic priorities to ensure the needs of current and future residents of Tameside are not achieved, ultimately with more residents becoming homeless.	4	5	Julian Jackson Director of Place	20	4	2	8	6	<b>PfE due for adoption to set strategic framework for growth.</b> Day to day implementation of housing strategy. <b>Partnership working with Registered Providers, House Builders, public and private sector partners to support and generate new housing supply. Vulnerable persons (homeless, adults, childrens) housing provision.</b>	<b>Oversight and day to day management by Head of Housing, AD IDH and Place Director.</b> Oversight by SLT, Executive and Scrutiny	Planned 2023/24 internal audit review of place, major programmes (growth)	Review of housing and homeless functions to create greater synergy / holistic offer. Place DMT, March 2024 Accessing GMCA and available government funding, Place DMT, March 2024	

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Starting Well, Living Well, Ageing Well, Great Place Inclusive Growth	12	<b>Climate Change</b> The Council does not produce, or deliver on, a sufficiently ambitious plan to become zero carbon or implement measures effectively to adapt to the impacts of climate change on Tameside in the longer term (e.g. increased risks of extreme weather, flooding and heat).	4	5	Julian Jackson Director of Place	20	4	2	8	6	Specifically appointed climate change officer. Day to day implementation of the climate change strategy and action plan.	Oversight by SLT, Executive and Scrutiny of climate change strategy	LGA peer assessment - December 2023.	Strengthening climate change function (appointing a manager) and implementation of climate change strategy and action plan. AD Strategic Property, March 2024.	
<b>Emerging Risks</b> Equal Pay Claims arising from Birmingham City Council current situation (currently being considered as a Resources Directorate level risk) Academisation (currently being considered as a Childrens Directorate level risk) Failure to fully capitalise on digitalisation agenda (opportunity) and AI (as well as risks associated with that) (currently being considered as a Resources Directorate level risk).															